ENFORCEMENT & LICENSING MODERNIZATION (ELM)

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BAR ADVISORY GROUP MEETING
APRIL 22, 2021
ELM BACKGROUND

• BreEZe Project
  • Goal of consolidating all Department of Consumer Affairs (DCA) regulatory entities into a single licensing and enforcement system
  • Release 3 (which included BAR) cancelled in January 2015
  • 18 regulatory entities using BreEZe
  • 12 regulatory entities still seeking to modernize business processes, including BAR
  • AB 111 – Modernization Requirements
  • DCA Business Modernization Plan
ELM PHASES

✓ Phase 1 - Business Readiness
  • Business Process Reengineering (BPR)

✓ Phase 2 - System Requirements
  • Mid-Level Solution Requirements

• Phase 3 – California Department of Technology (CDT) Project Approval Lifecycle (PAL)
  • Four stages with approval gates

• Phase 4 - System Implementation
  • Incremental Delivery – No Big Bang!
PHASE 3 – PROJECT APPROVAL LIFECYCLE

Figure 1
New Project Approval Process: Project Approval Lifecycle (PAL)

Stage 1
Business Analysis
- Identify Programmatic Problem/Opportunity
- Establish Business Case/Need
- Identify Objectives
- Assess Departmental Readiness

Stage 2
Alternatives Analysis
- Assess Existing Programming Processes
- Market Research
- Develop Mid-Level Solution Requirements
- Identify Solution Alternatives
- Recommend Solution
- Financial Analysis

Stage 3
Procurement Analysis
- Develop Solution Requirements
- Develop Solicitation

Stage 4
Bid Analysis and Finalization of Project Details
- Solicitation Release
- Select Vendor
- Contract Management
- Baseline Project

CDT Decision
- Red = Reject
- Yellow = Rethink and Resubmit
- Green = Approve

Award Contract and Start Project

CDT = California Department of Technology.
ELM PROJECT APPROVAL STATUS

• Stage 1 Business Analysis (S1BA) approved by CDT on 10/29/19

• Stage 2 Alternatives Analysis (S2AA) submitted to CDT on 4/7/20
ELM PROJECT APPROVAL STATUS

• Withdrew S1BA and S2AA on 7/27/20
• Refined project scope
• Revisited viable alternatives
• Revised S1BA and S2AA submitted on 12/10/20
• Stage 3 Procurement Analysis submitted on 03/11/21
SHIFTING LANDSCAPE

• COVID-19

• Increased focus on reducing cost

• Increased focus on minimizing risk

• Budget Letter (BL) 20-11 issued on 4/30/20
  
  • No new contract unless “directly support teleworking strategies, personal protective equipment and hand sanitizer, or other purchases necessary to reduce the spread of COVID-19, to respond to COVID-19, and to meet other time-sensitive critical needs.”

• Progress of DCA Business Modernization Cohort 1
SHIFTING LANDSCAPE

• DCA Cohort 1
  • Board for Engineers, Land Surveyors, and Geologists
  • Bureau for Private Postsecondary Education
  • Board of Chiropractic Examiners
  • California Architects Board
  • inLumon Software as a Service (SaaS) hosted licensing and enforcement solution procured
  • Successful implementation on 9/16/20

• DCA inLumon enterprise software license agreement and extra environment stacks

• inLumon implementation services have become available through the Department of General Services (DGS) Software Licensing Program (SLP)
WHAT IS NEXT

• Project scope refocused on licensing and externally facing capabilities in alignment with BL 20-11
• Leverage investment made as part of Cohort 1
  • inLumon SaaS solution will meet BAR’s business needs
    • Reduced risk
    • Reduced cost
    • Reduced time
• Obtain implementation services through DGS SLP
• Tentative Timeline
  • Project Start (August / September 2021)
  • Project End (May / June 2022)
  • Maintenance and Operations (June 2022 - June 2023)
QUESTIONS AND COMMENTS

Submit questions and/or comments to:

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